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| <b>To: Commissioner for Patents for<br/>Examiner Ellen C. Tran<br/>Group Art Unit 2134</b>   | <b>Facsimile No. 703-872-9306</b>  |
| <b>From: Dell Whitton<br/>Paralegal to Duke W. Yee</b>   | <b>No. of Pages Including Cover Sheet: 3</b>   |
| <b>Enclosed herewith:</b> <ul style="list-style-type: none"><li>• Transmittal document; and</li><li>• Notice of Appeal.</li></ul>                                |  |
| <b>Re: Application Serial No. 09/755,564<br/>Attorney Docket No. FR920000023US1</b>  |  |
| <b>Date: Wednesday, March 23, 2005</b>   |  |
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Lamberton et al.**Serial No.: **09/755,564**Filed: **January 5, 2001**For: **Methods and Systems for  
Defeating TCP SYN Flooding Attacks**§  
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§  
§Group Art Unit: **2134**Examiner: **Ellen C. Tran**Attorney Docket No.: **FR920000023US1**Certificate of Transmission Under 37 C.F.R. § 1.8(a)

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By:

  
Dell WhittonTRANSMITTAL DOCUMENT

Commissioner for Patents  
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Alexandria, VA 22313-1450

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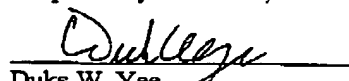
Sir:

ENCLOSED HEREWITH:

- Notice of Appeal

A fee of \$500.00 is believed to be necessary. Please charge this fee to IBM Corporation Deposit Account No. 09-0461. In the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to IBM Corporation Deposit Account No. 09-0461. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested and the fee for this extension should be charged to IBM Corporation Deposit Account No. 09-0461.

Respectfully submitted,

  
Duke W. Yee  
Registration No. 34,285  
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(972) 385-8777  
ATTORNEY FOR APPLICANTS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 23 2005

In re application of: **Lamberton et al.**§  
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Group Art Unit: 2134

Serial No.: 09/755,564

Examiner: **Ellen C. Tran**

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Dell WhittonNOTICE OF APPEAL

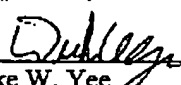
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the office action dated January 25, 2005 finally rejecting claims 1-5 and 7-14.

A fee of \$500.00 is believed to be necessary. Please charge this fee to IBM Corporation Deposit Account No. 09-0461. In the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to IBM Corporation Deposit Account No. 09-0461. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested and the fee for this extension should be charged to IBM Corporation Deposit Account No. 09-0461.

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